

List of Patents and Publications for
Applicant's Information Disclosure Statement

APPLICANT: Liu, Shiping et al.

(Use several sheets if necessary)

FILING DATE: Nov. 7, 2001
GROUP: 2164**RECEIVED**

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Reference Designation

US PATENT DOCUMENTS

| Examiner Initials | Document Number | Date | Name | Class/Subclass | Filing Date (If. Appro.) |
|----------------------------|--------------------|----------|-----------------|----------------|-----------------------------|
| <i>plu plu plu</i> | AA 5987425 | 11/16/99 | Hartman, et al. | 705/20 | Oct. 10, 1997 |
| | AB 5615109 | 3/25/97 | Eder | 395/208 | May 24, 1995 |
| | AC 6101479 | 8/8/00 | Shaw | 705/8 | Nov. 1, 1995 |

FOREIGN PATENT DOCUMENTS

| | Document Number | Date | Country | Class/Subclass | Translation Yes No |
|----------------------------|--------------------|---------|----------|----------------|-----------------------|
| <i>plu plu plu</i> | AD 9909503 | 2/25/99 | PCT | G06F17/60 | X |
| | AE 0039718 | 7/6/00 | PCT | G06F17/60 | X |
| | AF 1035485 | 9/13/00 | European | G06F17/60 | X |

OTHER ART (Including Author, Title, Data, Pertinent Pages, etc.)

| | | | | | |
|------------|----|---|--|--|--|
| <i>plu</i> | AG | InformationWEEK, Sept. 1996, Johnston, S.J., No. 596 "How to Get a Better Return on Data", pp. 82, 86, 88. | | | |
| <i>plu</i> | AH | Banking Technology, Oct. 1991, Vol. 8, No. 9, Austin, D., "Brave New Branches", pp. 49-51. | | | |
| <i>plu</i> | AI | Banking Software Review, Autumn 1990, Vol. 15, No. 4, Rosenthal B. E., "Turning Products into Profits with Teller Tools", pp. 54-59. | | | |
| <i>plu</i> | AJ | Bank Systems and Equipment, June 1988, Vol. 25, No. 6, Fuentesbella, C., "Teller and Platform Integration Expands Marketing Drive", pp. 65-68. | | | |
| <i>plu</i> | AK | Bank Systems and Equipment, June 1987, Vol. 24, No. 6 "First Tennessee Turns to Integrated Packages", pp. 62-64. | | | |
| <i>plu</i> | AL | Bank Systems and Equipment, Oct. 1986, Vol. 23, No. 10, Caradonna, L., "Householding of Customer Files Yields Valuable Marketing Info", pp. 68-70. | | | |
| <i>plu</i> | AM | Proceedings KDD-2000, Sixth ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, Kitts, B.; Freed, D.; Vrieze, M.; "Cross-sell: a Fast Promotion-Turntable Customer-Item Recommendation Method Based on Conditionally Independent Probabilities", pp. 437-446. | | | |



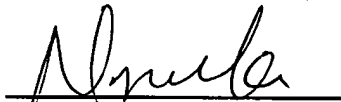
AN Proceedings of the Fourth International Conference on the Practical Application of Knowledge Discovery and Data Mining, Biggs, G., "Implementing Data Mining in a Marketing Data Warehouse Development", pp. 15-18.

AO Direct Marketing, Connon, E., Sept. 1996, Vol. 59, no. 5., "Developing and Retaining Profitable Customer Relationships Through Call Centers", pp. 24-25.

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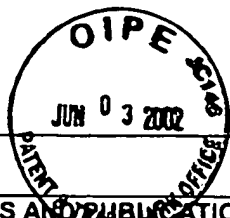
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Examiner

Date Considered

10/3/08

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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|---|---------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/008,371 | CASE NO. 56/361 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE December 6, 2001 | GROUP ART UNIT 2622 |
| (use several sheets if necessary) | | |
| APPLICANT: Erich Strasser | | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|----|--------------------|----------|----------------|--------------------|----------------|
| <i>Ally</i> | A1 | 3,725,904 | 04/03/73 | Breslow et al. | | |
| | A2 | 4,311,987 | 01/19/82 | Taillebois | | |
| | A3 | 5,687,103 | 11/11/97 | Hagl et al. | | |
| <i>✓</i> | A4 | 5,793,201 | 08/11/98 | Nelle et al. | | |

FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|----------------------------------|-----|--------------------|----------|------------|--------------------|-------------|----|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION | |
| | | | | | | YES | NO |
| NRP ✓ | A5 | 28 25 842 | 12/21/78 | W. Germany | ————— | | X |
| | A6 | 35 09 682 | 09/18/86 | W. Germany | ————— | X | |
| | A7 | 40 09 749 | 12/06/90 | Germany | ————— | X | |
| | A8 | 43 42 377 | 06/14/95 | Germany | ————— | | X |
| | A9 | 44 25 416 | 02/08/96 | Germany | ————— | X | |
| | A10 | 44 27 278 | 02/15/96 | Germany | ————— | X | |
| | A11 | 195 08 834 | 09/12/96 | Germany | ————— | X | |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | |
|---------------------|--|--|
| <i>Ally</i> | A10 | |
| | A11 | |
| | A12 | |
| | A13 | |
| | A14 | |
| <i>✓</i> | A15 | |

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| EXAMINER <i>Ally</i> | DATE CONSIDERED <i>11/3/08</i> |
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